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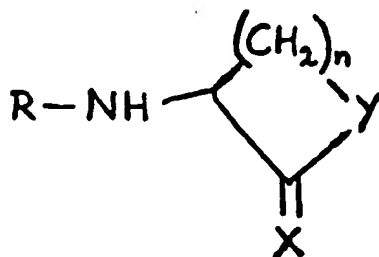
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<p>(21) International Application Number: PCT/GB92/00713 (22) International Filing Date: 16 April 1992 (16.04.92) (30) Priority data: 9108307.1 18 April 1991 (18.04.91) GB (71) Applicant (for all designated States except US): THE UNIVERSITY OF NOTTINGHAM [GB/GB]; University Park, Nottingham NG7 2RD (GB). (72) Inventors; and (75) Inventors/Applicants (for US only) : BYCROFT, Barrie, Walsham [GB/GB]; 14 The Cloisters, Beeston, Nottingham NG9 2FR (GB). WILLIAMS, Paul [GB/GB]; 41 Ranclagh Grove, Wollaton, Nottingham NG8 1HR (GB). STEWART, Gordon, Sidney, Anderson, Birnie [GB/GB]; 14 James Avenue, Loughborough, Leics. LE11 0QL (GB). CHHABRA, Siri, Ram [GB/GB]; 9 Newton Close, Loughborough, Leics. LE11 0UU (GB). STEAD, Paul [GB/GB]; 44 Widworthy Drive, Broadstone, Dorset BH18 9BD (GB). WINSON, Michael, Kenneth [GB/GB]; 10 Beaufort Court, West Bridgford, Nottingham NG2 7TB (GB). HILL, Philip, John [GB/GB]; 145 Wendover Drive, Aspley, Nottingham NG8 5JN (GB). REES, Catherine, Elizabeth, Dunn [GB/GB]; 23 Hawthorn Close, Keyworth, Nottingham NG12 5JP (GB). BAINTON, Nigel, John [GB/GB]; 26 David Grove, Bramcote, Nottingham NG9 3AF (GB).</p>		<p>(74) Agent: PENNANT, Pyers; Stevens, Hewlett & Perkins, 1 Serjeants' Inn, Fleet Street, London EC4Y 1LL (GB). (81) Designated States: AT (European patent), BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), MC (European patent), NL (European patent), SE (European patent), US. Published With international search report.</p>

(54) Title: AUTOINDUCER



(I)

(57) Abstract

The compound N-(β-ketocaproyl)L-homoserine lactone is shown to be an autoinducer that enhances gene expression in a wide variety of microorganisms. Use can be made of this property for diagnostic purposes, e.g. when gene expression causes bioluminescence or antibiotic production, or to promote bacterial growth. The invention claims use for these purposes of the compound and analogues of formula (1) where n is 2 or 3, each of X and Y is O, S or NH, and R is optionally-substituted C1-C12 alkyl or acyl. Some of these are also claimed as new compounds.

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AUTOINDUCER

5 An autoinducer is a chemical molecule, often quite a small one, which is produced by a microorganism during metabolism and which then acts to increase the expression of genes of the microorganism.

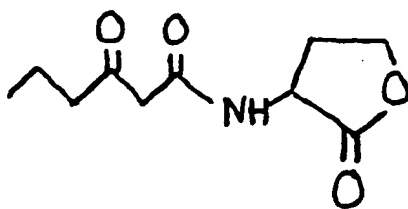
 N-(β -ketocaproyl) homoserine lactone [3-oxo-
10 N-(tetrahydro-2-oxo-3-furanyl) hexanamide (formula 2) has long been recognised as an autoinducer regulating expression of *lux* genes and hence the bioluminescent phenotype in the marine microorganism, *Vibrio fischeri* (Eberhard *et al.*, 1981). Although characterised as a
15 bacterial pheromone (Eberhard, 1972), it has been identified only in the one species of bioluminescent bacteria and any broader role in signalling, such as communicating nutritional viability to other bacteria (Eberhard *et al.*, 1981) has previously had no
20 foundation in scientific evidence. It has been observed that the autoinducer of *Vibrio fischeri* is similar in structure to A-factor (formula 4), a regulatory molecule which is produced by *Streptomyces griseus* (Silverman *et al.*, 1989; Meighen, 1991) and
25 which causes a self-induction of sporulation and streptomycin synthesis. Intellectually, this has been assimilated by several workers as suggestive of a broader role for such molecules. To establish precisely the current level of understanding, we quote
30 from two recent reviewers:

 "Perhaps this chemical relationship is an indication that mechanisms used by bacteria to sense their environments have a common origin and that there is a large class of signalling molecules or 'bacterial
35 hormones' similar in structure and mode of action."
(Silverman *et al.*, 1989).

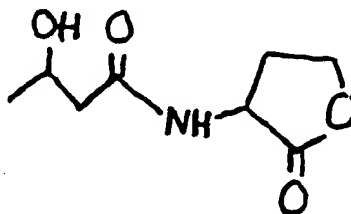
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"The possibility that the lux autoinducers are part of a larger class of signalling molecules (allomones, pheromones or hormones) used to sense the local nutritional or chemical environment has been suggested." (Meighen, 1991).

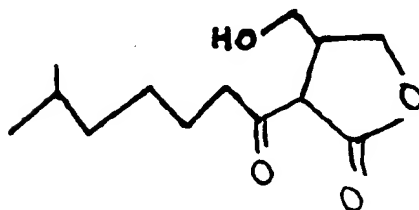
While these two statements establish the possibility of a large class of signalling molecules, it must be re-emphasised that there is no basis in experimentation for confirming the above hypothesis and certainly no indication that N-(β -ketocaproyl) homoserine lactone (formula 2) may be directly involved in gene regulation of microorganisms other than Vibrio fischeri and the closely related Vibrio logei. In addition, a study of other bacteria, including nonluminous species, for their ability to provide autoinducer for the related Vibrio harveyi lux system (N- β -hydroxybutyryl homoserine lactone) (formula 3) failed to identify any terrestrial sources for complementation (Greenberg et al., 1979).



Formula 2



Formula 3

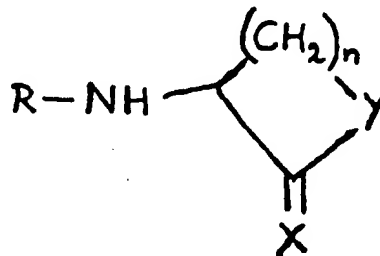


Formula 4

In a research programme directed at the study of carbapenem synthesis in prokaryotes, we have recently discovered that the compound of formula 2 regulates the expression of carbapenem synthesis in Erwinia. It appears that there is a family of compounds, including those of formulae 2 and 3 and analogues thereof, which control (increase or decrease) gene expression in a variety of microorganisms. This family is sometimes hereinafter referred to as "N-(β -ketocaproyl) homoserine lactone or analogue". Some of this family of compounds, including specifically those of formulae 2 and 3, are produced by various microorganisms for which they act as autoinducers.

We have synthesised various members of this family of compounds, including optically active isomers thereof. This invention includes as new compounds those members of the family that have not been previously described. The invention also includes use of the compounds of the family to control gene expression in microorganisms. Some of the practical implications of this use are discussed below.

Thus in one aspect the invention provides use of a compound having the formula 1 to control gene expression in microorganisms other than V. fischeri, V. logei and V. harveyi, wherein formula 1 is



where n is 2 or 3

Y is O, S or NH

X is O, S or NH

35 R is C₁ - C₁₂ alkyl or acyl which may be substituted.

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Preferably n is 2, so that the ring is five-membered. Although it is not necessary for Y and X to be the same, both are preferably O as in the compounds of formulae 2 and 3. Preferably R is C_2 to C_6 acyl.

5 Preferably R carries a keto or hydroxy group in the β position.

In another aspect, the invention provides, as new compounds, optically active isomers of the compounds of formula 1 as defined above. Preferably

10 the optically active isomers are L-isomers, since these have proved more active than their corresponding D-isomers at increasing gene expression. The D-isomers may be useful to inhibit gene expression.

Use is made of these compounds to control

15 gene expression in microorganisms. The control exercised may be to decrease, but is more usually to increase, gene expression. The microorganisms concerned include bacteria, both Gram negative and Gram positive, yeasts and fungi. It is at the basis of the

20 invention that a wide variety of microorganisms have some gene whose expression is affected in some way by at least one compound within the family. This control technique often involves the use of microorganisms that are not themselves capable of producing an autoinducer

25 within the family of compounds, but which are capable, in the presence of exogenous autoinducer, of expressing a gene, generally in an easily detectable manner. Two examples of such microorganisms, which are discussed in more detail below, are:

30 - A genetic construct pSB237. This has the capacity to express a bioluminescent phenotype in E.coli, but only in the presence of added inducer, preferably the compound of formula 2.

- A mutant strain B10002/mu22, made by

35 mutation of Erwinia carotovora ATCC3948. Unlike its parent, the mutant 22 is capable of synthesising

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carbapenem antibiotic only in the presence of added inducer, such as the compound of formula 2.

In one example of such technique, the invention provides a method of testing for N-(β -ketocaproyl) homoserine lactone or analogue in a sample, by incubating the sample in contact with test bacteria chosen for enhancement of gene expression by the lactone or analogue, and detecting the gene expression as a test for the lactone. The limits of detection using a bioluminescent phenotype derived from E.coli [pSB237] depend upon the time of exposure of the bacterial culture to inducer. As shown in Example 4 below, at concentrations above 10 ng/ml of culture, induction of bioluminescence takes less than 10 minutes. At lower concentrations induction is progressively slower, but concentrations of as low as 80 pg/ml can be distinguished from a zero concentration control after some 20 hours of incubation.

In another example of this technique, the invention provides a method of testing for the presence in a sample of a first bacterium known to generate N-(β -ketocaproyl) homoserine lactone or analogue under particular conditions, which method comprises incubating the sample under the particular conditions in contact with a test bacterium chosen for enhancement of gene expression by the lactone or analogue, and detecting the gene expression as a test for the first bacterium.

Such a test may be for bacteria generally, or for specific bacteria known to produce autoinducer under particular conditions. For example, Pseudomonas aeruginosa produces autoinducer (formula 2) only under defined growth conditions (see Example 4), specifically the use of limiting or minimal growth media supplemented with Fe(III). Similarly, there is preliminary evidence that Listeria monocytogenes

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produces a lactone or analogue only after exposure to low temperature. These characteristics of particular bacteria provide the basis of specific tests for those bacteria in a mixture of microorganisms.

5 P.aeruginosa is a bacterium that must be stipulated as absent in 100 ml of H₂O to be used for the preparation of pharmaceutical products, particularly injectables. There is therefore a considerable need to develop a rapid assay system for
10 this specific bacterium. Existing rapid assays such as DNA probes or antibody probes cannot discriminate between live and dead cells, an unacceptable limitation only avoidable by testing after microbial growth. Novel processes such as the construction of genetically
15 engineered bacteriophage specific for P.aeruginosa and containing the luxAB genes are a possibility but cost of development is high.

 The fact that P.aeruginosa produces a substance capable of complementing inducer in a luxR/AB
20 construct provides the basis of a rapid test.

 It has been demonstrated that the normal density dependent expression of the entire lux operon in E.coli can be suppressed. In liquid growth a lux operon construct would not emit high levels of light
25 until the culture density approached the end of exponential growth. If, however, such cells are immobilised in a low concentration gel the bacteria will light up very rapidly. It is presumed that this is a consequence of limiting the diffusion of the
30 autoinducer.

 A concept assay for P.aeruginosa may be as follows. Water is filtered to collect any bacteria and the filter overlaid with a gel (agar, agarose or gelatin) containing E.coli[pSB237]. The inducer
35 produced by live P.aeruginosa cells is limited in diffusion and rapidly reaches a concentration

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sufficient to activate bioluminescence in the surrounding E.coli cells. In effect light 'plaques' appear and can be detected and counted. The ability to control the optimal media conditions for production of complementing activity allows for considerable specificity of the assay.

In yet another example of the technique, a compound according to formula 1 can be added to a microorganism culture in order to cause expression of a particular gene that would not otherwise be expressed. For example, the compound may be used to induce antibiotic production.

In yet another example, growth media for microorganisms e.g. bacteria can be prepared containing an autoinducer - at an effective concentration which would lead to a stimulation or promotion of the metabolism, growth and/or recovery of the organisms. (These phenomena are herein referred to collectively as growth.). This may include all the organisms present or, in some cases, it may enhance a selected group of organisms in a sample in preference to the others.

A lot of work has been done on the regulation of the lux operon of Vibrio fischeri, in which the autoinducer of formula 2 plays a crucial role. Reference is directed to Figure 1 of the accompanying drawings. The following paragraphs describe the current state of knowledge regarding the role of autoinducer in the control of the lux operon and should be read in conjunction with Figure 1.

The phenomenon of autoinduction was first described by Nealson et al. (1970), based on observations that Vibrio harveyi and Vibrio fischeri produce light only at high cell densities, and that these bacteria produce a substance which will induce bioluminescence in cultures of low cell density. It

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was postulated that a substance termed 'autoinducer' accumulates in the growth media and induces the synthesis of the components of the bioluminescence system (when its concentration reaches 1-2 molecules per bacterial cell). Therefore, it is not cell density per se which influences bioluminescence, but the accumulation of the autoinducer molecule. The first autoinducer molecule to be purified and structurally identified was that produced by Vibrio fischeri, and was found to be N-(β -ketocaproyl)homoserine lactone (Eberhard et al., 1981). It was speculated that as this molecule combines homoserine lactone, an intermediate in amino acid metabolism and β -ketocaproic acid, a relative of fatty acid metabolism intermediates, that it could be a signal of nutritional viability communicated to other bacteria perhaps to induce a chemotactic response, hence the autoinducer became considered a bacterial pheromone (Eberhard, 1972) with a role in population sensing.

The luxI gene which lies upstream of luxC in V. fischeri codes for a 22 kD polypeptide believed to be responsible for production of autoinducer, apparently from cytoplasmic precursors (Engebrecht et al., 1983) although exactly what these precursors are is not clear. There is no evidence that any systematic search for genes analogous to luxI in other luminous bacteria has been attempted.

In 1987 Kaplan and Greenberg identified a positive regulatory element in V. fischeri which was required along with autoinducer to activate transcription of the structural genes for bioluminescence (luxCDABE) and for autoinducer synthesis (luxI product). This regulatory protein was found to be a 28 kD polypeptide coded for by luxR, a gene which lies upstream of luxI but which is transcribed in the opposite direction to the other lux

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genes. The luxR product was shown to be a DNA-binding protein, although under the conditions used the binding was not lux DNA-specific. The reason suggested for this was incorrect renaturation of the protein during purification, an explanation further supported by problems of reproducibility when trying to demonstrate binding of autoinducer. Mutational analysis of luxR has indicated that one region, near the centre of the luxR polypeptide, constitutes an autoinducer binding domain, while a region towards the carboxy terminus of the polypeptide constitutes a lux operator DNA binding/recognition domain (Slock *et al.*, 1990). The position of the autoinducer binding site has subsequently been localised between amino acid residues 79-127 of the V.fischeri luxR protein (Shadel *et al.*, 1990). This could, therefore represent a highly conserved region within luxR homologs.

More recently, a region unlinked to the lux structural genes has been identified as a locus controlling bioluminescence in V.harveyi, which, unlike V.fischeri, does not possess lux regulatory genes as part of an integral operon required for bioluminescence (Martin *et al.*, 1989). Nevertheless, there is a region upstream of luxC in V.harveyi that contains a strong inducible promoter, which is cell density regulated and glucose repressible (Miyamoto *et al.*, 1990). The unlinked regulatory locus from V.harveyi (named luxR) has been cloned and sequenced (Showalter *et al.*, 1990), and the results indicate a structural relationship to some DNA binding proteins (i.e. the DNA-binding domain of Cro-like proteins). There is, however, no sequence similarity to the luxR gene of V.fischeri. Furthermore, the cloned 'luxR' from V.harveyi is unresponsive to exogenously supplied autoinducer and does not direct the synthesis of an autoinducer activity. The cloning of this regulatory locus into

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E.coli together with luxCDABE does not reconstruct a lux regulatory system reflective of V.harveyi. It has been suggested, therefore, that a further missing function may be identified by the cloning of additional V.harveyi DNA into E.coli which already contains luxRCDABE on another replicon.

Summary of the control system to date

1. There is low basal expression of luxI, the product of which makes autoinducer from cytosolic substrates.
 2. cAMP/CRP activates the luxR promoter and luxR protein is produced.
 3. LuxR protein interacts with autoinducer to form a complex (R/AI).
 4. R/AI complex binds to lux operator region between luxR and luxI (perhaps displacing LexA or after LexA is cleaved due to SOS response).
 5. Binding of R/AI promotes transcription of right operon (luxICDABE by σ^{32} (htpR protein)).
- Although this invention is based on results rather than theory, the inventors currently believe that the compounds of formula 1 form a bacterial pheromone family which have a primary role in controlling various functions in bacteria and other microorganisms. These freely soluble and diffusable low molecular weight molecules may act as sensors of inter-microbial communication. As density sensors they may control initiation of maintenance gene expression (Sigma 32). Sensing isolation they may prevent expression of conjugative gene systems, and again sensing density, they may trigger the initial Sigma factor change in bacilli that precedes the sigma cascade during sporulation.

The following examples illustrate various aspects of the invention.

Example 1 describes a bioassay in which a

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mutant strain of Erwinia carotovora is used to detect autoinducer, or bacteria which generate autoinducer. The detectable phenotype in this case is carbapenem production.

5 Example 2 describes the isolation of N-(β -ketocaproyl) homoserine lactone from the culture supernatant of an Erwinia carotovora strain. This is of interest as a demonstration that a non-bioluminescent non-marine bacterium produces the
10 autoinducer that controls the lux operon of Vibrio fischeri.

 Example 3 describes the preparation of numerous mutants of the E. carotovora strain which lack the ability to make carbapenem antibiotics. The
15 example demonstrates that in some of these mutants the antibiotic production capability can be restored by addition of exogenous autoinducer.

 Example 4 describes a different bioassay for autoinducer, based on the genetic construct pSB237. A
20 bioassay uses bioluminescence to test for the presence of autoinducer, or microorganisms that make it. Using the assay, many bacteria are identified as producers of the autoinducer.

 Example 5 describes the preparation and
25 characterisation of N-(β -ketocaproyl) homoserine lactone and analogues, both in the racemic form and as D- and L- isomers.

 Example 6 describes a bioassay, along the lines of Example 1, to detect the analogues described
30 in Example 5.

 Example 7 describes a method for the enumeration of Pseudomonas aeruginosa using E. coli[pSB237] as an indicator strain.

 Example 8 shows induction of carbapenem
35 production by the L- and D-isomers of autoinducer.

 Example 9 describes an experiment to

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demonstrate the action of autoinducer in enhancing the rate of growth of bacteria.

Example 10 demonstrates activity of various analogues in inducing luminescence.

5 Example 11 demonstrates early induction of carbapenem production by autoinducer in E. carotovora.

Example 12 shows the effect of autoinducer on the recovery of injured microorganisms.

10

Example 1

Bioassay for Autoinducer

1. The bioassay method is based around an autoinducer non-producing, EMS generated mutant strain of Erwinia carotovora: B10 002/mu22, henceforth called
15 by the abbreviated name: mutant 22 (whose preparation is described in Example 3). The biosynthesis of carbapenem antibiotic is induced by the autoinducer compound. Mutant 22 is blocked at some point in the biosynthesis of autoinducer. Thus, addition of a
20 sample containing the autoinducer compound will complement this genetic lesion, allowing the biosynthesis of antibiotic observed in the strain from which the mutant is derived.

2. Samples of up to 50 µl in volume are added to
25 wells of approximately 10 mm in diameter, cut in Oxoid DST agar plates seeded with an E. coli carbapenem super-sensitive strain (E. coli ESS). DST agar is made up as directed. After autoclaving, the DST agar is cooled to 45°C, then 3.0 ml per litre of DST agar of a
30 culture of E. coli ESS added. The latter is grown up overnight in Oxoid brain heart infusion broth at 37°C.

3. An inoculum of Mutant 22 is placed around the rim of the wells containing the samples to be analysed for autoinducer. Positive and negative controls are
35 included consisting of: i) antibiotic-producing strain (Erwinia carotovora ATCC 39048); ii) mutant 22

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with synthetic autoinducer; iii) mutant 22 without any sample added; iv) and each sample tested for antibacterial activity in the absence of mutant 22 to test for presence of antibiotics or residual solvents.

- 5 4. The plates are inoculated overnight at 26°C. If the autoinducer is present in a sample placed in a well with mutant 22, the antibiotic produced gives a clearing on the plate.

10

Example 2

Isolation of N-(β -ketocaproyl) homoserine lactone from the culture supernatant of *Erwinia carotovora* ATCC39048

- E. carotovora* was maintained on nutrient agar slopes. A loopfull of the culture was inoculated into seed stage medium (consisting of neutralised soya peptone 1% w/v and sucrose 0.1% w/v) and incubated at 26°C on a rotary shaker for 24 hours. 1 ml was added to each of four Erlenmeyer flasks, each containing 500 ml production (ECP) medium. This consisted of:

20

L-Glutamic acid	0.2% w/v
Ammonium sulphate	0.1% w/v
di-Potassium hydrogen orthophosphate	0.37% w/v
Potassium di-hydrogen orthophosphate	0.62% w/v
25 Sodium Chloride	0.02% w/v
Casamino acids (Difco)	0.2% w/v
Glucose	0.4% w/v
Ferrous sulphate heptahydrate	0.001% w/v
Magnesium sulphate heptahydrate	0.01% w/v

30

- Cultures were incubated on a rotary shaker at 26°C, 220 rpm for 16 hours. Cultures were clarified by centrifugation (10,000 rpm, 10 minutes), supernatant taken and extracted twice with 400 ml ethyl acetat (distilled over potassium carbonate). The ethyl acetate layer was taken, 30 ml of distilled water added

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and ethyl acetate removed by rotary evaporation at 35°C.

The aqueous solution thus obtained was passed twice through a column which contained hydrophobic resin (Styrene-divinylbenzene copolymer CHP3C, Mitsubishi Ltd.). The column was eluted with distilled water (60 ml), then 30% v/v methanol in water (60 ml), then 70% v/v methanol in water (60 ml). Fractions were monitored throughout for biological activity using the Complementation Bioassay.

The 70% fraction (which contained all detectable biological activity) was taken and concentrated to a volume of 3 ml by rotary evaporation at 35°C. Further substantial purification was achieved by HPLC, set up as follows:

Column	Semi-preparative, Reverse phase (S50DS2, Hi-Chrom Ltd.)
Mobile Phase	15% v/v methanol in water
Flow Rate	2 ml/min
Monitoring wavelength	210 nm
Injection volume	0.5 ml

The autoinducer eluted at ca 17.5 minutes. Fractions were pooled, methanol removed by rotary evaporation at 35°C, aqueous solution freeze dried to yield 1 mg 99+% pure N-(β -ketocaproyl) homoserine lactone as a lyophilised white powder.

Example 3

Production of Autoinducer non-producing mutants in Erwinia carotovora ATCC 39048 using ethyl methane sulphonate (EMS) Mutagenesis

1. Erwinia carotovora ATCC 39048 is grown in LB medium (Maniatis *et al.*, 1982) containing 10 μ g/ml kanamycin, 50 μ g/ml tetracycline in a rotary shaker at

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- 26°C overnight. OD₆₀₀ is measured to ensure culture has reached stationary phase (OD₆₀₀ ≥ 2.0).
2. Inoculate 1.0 ml of above culture into 50 ml of fresh complex medium. Place on rotary shaker at 26°C. Grow to OD₆₀₀ ≈ 0.7.
3. Centrifuge 1.0 ml of culture in a sterile microfuge tube at 12 K rpm for 2 minutes at room temperature. Resuspend cells in 1.0 ml of sterile SPC buffer (SPC buffer: 0.15 M NaCl, 10.8 mM NaH₂PO₄, 9.0 mM citric acid (pH 7.0)). Cells were pelleted as above, supernatant discarded and cells resuspended in 1.0 ml of sterile SPC buffer.
4. 25 µl of ethyl methane sulphonate (EMS) is added to 1.0 ml of cells suspended in SPC buffer, to give a 2.5% solution of EMS. Cells are then incubated with EMS without shaking for 1 hour at room temperature.
5. Centrifuge cells in a microfuge at 12 k rpm for 2 mins. Remove supernatant and resuspend cells in 1.0 ml of sterile 5% sodium thiosulphate (pH 7.0).
6. Wash the cells with 1.0 ml of sterile SPC buffer twice to remove any traces of sodium thiosulphate.
7. Resuspend cells in 1.0 ml LB medium. Place in 26°C incubator without shaking for 1 hour.
8. Dilutions of cells are made in sterile water and 100µl volumes spread on nutrient agar plates containing 10 µg/ml kanamycin, 50 µg/ml tetracycline. Plates are incubated at 26°C for 48 hours.
9. Colonies were picked-off the above plates using sterile toothpicks and an inoculum placed on DST agar plates seeded with E.coli ESS strain (Oxoid DST agar made up as directed. After autoclaving, agar is cooled to 45°C and 3.0 ml of an overnight culture of E.coli ESS strain in Oxoid brain heart infusion broth added to 1 litre of DST). Plates are incubated at 26°C for 24 hours. When carbapenem antibiotic is produced by the Erwinia colony a cl aring is observed. Non-

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producers of carbapenem antibiotic are selected. Amongst those are autoinducer non-producing mutant colonies (about 20% of the total showing a phenotype of antibiotic non-production).

- 5 9. Autoinducer non-producing mutant strains have been identified by complementation analysis using whole cells, filter sterilised culture supernatants and HPLC analysis of culture supernatants.

10 Complementation Test of Mutants

1. Using Oxoid DST agar seeded with E.coli super-sensitive strain (ESS), it can be shown that some mutants derived from Erwinia carotovora ATCC 39048 are no longer able to synthesise carbapenem antibiotic.
- 15 Amongst these are mutants unable to synthesise autoinducer which is a requirement for carbapenem biosynthesis. Due to the diffusable nature of the autoinducer molecule a mutant defective in the biosynthesis of the compound will be complemented by
- 20 strains with mutations in the carbapenem biosynthetic pathway or regulatory autoinducer binding proteins, which will have the functional autoinducer biosynthetic machinery.
2. Complementation is observed by mixing whole
- 25 cells of mutant strain B10 002/mutant 22 (subsequently called mutant 22) with other mutants such as mutant strain B11 001/mutant 26 (subsequently called mutant 26).
3. Mutants are mixed together on DST agar seeded
- 30 with E.coli ESS. When mixed, production of antibiotic and concomitant clear zone on the plate are observed. Separate inoculums of each mutant do not produce any antibiotic.
4. The same effect can be observed when feeding
- 35 filter-sterilised supernatant from a culture of one mutant to a mutant of a separate complementation group.

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A 50 µl sample is placed in a well cut in a DST agar plate seeded with E.coli ESS, with the chosen mutant inoculated around the rim of the well. The plate is incubated at 26°C for 24 hours, after which time clear zones produced by the presence of antibiotic will be visible.

5. Feeding the supernatant from a mutant such as mutant 26 to mutant 22 induces antibiotic production in the latter. No induction of carbapenem is observed when filter-sterilised supernatant from mutant 22 is incubated with mutant 26 on bioassay plates.

The following Table lists the mutant strains prepared.

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Table 1

Compilation of Erwinia carotovora strains with
antibiotic non-producing phenotypes

5	<u>COMPLEMENTATION GROUP 1</u>			<u>COMPLEMENTATION GROUP 2</u>		
	<u>strain</u>	<u>number</u>	<u>mutagen used</u>	<u>strain</u>	<u>number</u>	<u>mutagen used</u>
	A05 002	8	NTG	B03 001	4	EMS
	A05 003	9	NTG	B10 002	22	EMS
	A05 005	10	NTG	B10 003	23	EMS
10	A05 020	11	NTG			
	A05 026	12	NTG			
15	A06 001	13	NTG			
	A07 005	14	NTG			
	A07 037	15	NTG			
20	A08 003	16	NTG			
	A08 004	17	NTG			
	A08 017	18	NTG			
	A08 020	19	NTG			
	A08 022	20	NTG			
25	B10 001	21	EMS			
	B10 004	24	EMS			
	B10 005	25	EMS			
	B11 001	26	EMS			

30

The first three digits of the mutant strain number indicate individual mutagenesis experiments.

35

	<u>COMPLEMENTATION</u>	<u>COMPLEMENTATION</u>
	<u>GROUP 1</u>	<u>GROUP 2</u>
Total number of mutants produced	17	3

- 19 -

Example 4

The construction of pSB 237: a *V.fischeri* autoinducer sensor controlling a bioluminescent phenotype in *E.coli*

5 An *E.coli* vector pSB226 containing a promoterless copy of the *luxA* and *luxB* genes from *V.harveyi* has been previously described (Hill *et al.*, 1991)

10 This vector has a unique *EcoR*I site proximal to *luxA* which facilitates the insertion of promoterless elements. A *luxR lux* promoter region of the *V.fischeri lux* operon was obtained by PCR using the following primers designed to incorporate terminal *EcoR*I sites and to have homology to sequences previously identified
15 by Engebrecht and Silverman (1987).

Primer (1) (5' end of *luxR*)

5' 3'
AAG CTT GAATTC CCG GGT TAA TTT TTA AAG TAT GGG CAA TCA ATT

20

Primer (2) (3' end of *lux* promoter)

5' 3'
TTT TAT GAATTC TAC GTA ACC AAC CTC CCT TGC GTT TAT TCG A
Recognition sequences for *EcoR*I are underlined.

25 The PCR fragment obtained by use of the above primers was digested with *EcoR*I and inserted into the unique *EcoR*I site of pSB226. Recombinant clones were selected on the basis of a bioluminescent phenotype dependent upon the presence of dodecanal vapour and
30 synthetic *V.fischeri* autoinducer. One such recombinant was designated pSB237.

This example uses *E.coli* [pSB237], a bacterium in which, as noted above, bioluminescence is expressed only in the presence of exogenous N-(β -ketocaproyl) homoserine lactone (or analogue). This
35 phenotype is used as a basis of a test for synthetic

- 20 -

autoinducer or for other bacteria that may produce autoinducer.

Bioluminescent bioassay for autoinducer

5 The bioassay method is based on E.coli
[pSB237], described above. Overnight LB cultures
(Maniatis et al., 1982) of E.coli [pSB237] are used to
initiate exponential cultures by appropriate dilution
into fresh LB media. 100 µl of exponential cultures
10 are placed into microtitre wells within a microtitre
tray format. 100 µl of cell free culture supernatant
or appropriately diluted N-(β-ketocaproyl) homoserine
lactone is added individually to wells so that the
potential to induce bioluminescence from E.coli
15 [pSB237] can be assessed. Microtitre trays are
incubated at 30°C prior to bioluminescence
determination which must be preceded by the addition to
each well of 5 µl of a 1% dodecanal solution in
ethanol. The limits for detection of autoinducer
20 using a bioluminescent phenotype derived from E.coli
[pSB237] depend upon the time of exposure of the
bacterial culture to inducer. At concentrations above
10 ng/ml of culture, induction of bioluminescence is
rapid (less than 10 min). At lower concentrations
25 induction is progressively slower but concentrations as
low as 80 pg/ml can be distinguished from a zero
concentration control after some 20 h of incubation
(Figure 2). This establishes the bioluminescent assay
as a highly sensitive and simple monitor of inducer.

30

Pseudomonas aeruginosa

P.aeruginosa produces complementing activity
(confirmed as autoinducer) only under defined growth
conditions. In rich media such as LB or Brain Heart
35 Infusion Broth the degree of complementing activity is
low. In limiting or minimal growth media and

- 21 -

particularly when supplemented with 1 mg/ml Fe(III), the production of complementing activity is very considerable. By comparison with standard concentrations of autoinducer (L-isomer), the supernatant of such a P.aeruginosa culture could be estimated to contain some 10 ng/ml of autoinducer. The media dependency of complementation activity strongly suggests that production is regulated and that under favourable conditions production levels may equate to those previously reported in marine bacteria (Eberhard, 1981; 1 µg/ml).

Serratia marcescens

S. marcescens is capable of providing a similar level of complementing activity for E.coli[pSB237] as P.aeruginosa. the major difference is that in this instance there is no media variability with very high complementations achieved from BHI supernatants. This would suggest constitutive expression of autoinducer, a feature previously described for V.fischeri (Eberhard et al., 1981).

Proteus mirabilis and Citrobacter freundii

Both of these bacteria produce complementing activity in a limiting growth medium (CCY; Stewart et al., 1981). The activity detailed by the bioluminescent assay indicates a lower level of inducer formation or, alternatively, production of an inducer analogue with lower activity for the luciferase luxR product. Nevertheless, the rate of appearance of activity would reflect inducer levels of greater than 1 ng/ml for a homologous compound.

- 22 -

Microorganisms with low but detectable activities

Table 2 and the accompanying Figure 3 list microorganisms that provide complementation for activation of bioluminescence with E.coli[pSB237].

5 Typically, activity can take between 0.5 and 6 hours to become measurable above background controls. Given the dependence upon time for detection of low levels of autoinducer, it is possible that these long incubation periods reflect low levels of inducer in the microbial
10 cultures. It is particularly interesting to note that almost none of the cultures provided any complementing activity in rich media but that the limiting CCY media was particularly productive.

It is possible that the production of inducer
15 analogues of low intrinsic activity with E.coli [pSB237] could be an alternative explanation of the above but equally these results could reflect the detection of basal levels of autoinducer production. These could, after appropriate physiological trigger
20 events, be switched to high production for gene control functions.

Other tests have shown positive results (i.e. production of autoinducer) also by the following microorganisms: Hafnia alvei, Serratia liquefaciens,
25 Enterobacter agglomerans, and Rahnella aquatilis.

30

35

- 23 -

Table 2

5	A ₁	<i>Aeromonas hydrophila</i>	A ₂	<i>Citrobacter freundii</i> 6071
	B ₁	CONTROL	B ₂	<i>Citrobacter freundii</i> 9756
	C ₁	<i>Bacillus cereus</i>	C ₂	<i>Citrobacter koseri</i> 10849
	D ₁	<i>Bacillus coagulans</i>	D ₂	<i>Bacillus coagulans</i>
	E ₁	<i>Bacillus megaterium</i>	E ₂	<i>Bacillus cereus</i> 866
	F ₁	<i>Bacillus megaterium</i> KM	F ₂	<i>Bacillus licheniformis</i>
	G ₁	<i>Bacillus subtilis</i>	G ₂	<i>Bacillus macerans</i>
10	H ₁	<i>Brochothrix thermosphacta</i>	H ₂	<i>Bacillus megaterium</i> 9885
	A ₃	<i>Bacillus pumilis</i>	A ₄	<i>Alcaligenes faecalis</i>
	B ₃	<i>Bacillus subtilis</i> 2129	B ₄	<i>Klebsiella aerogenes</i>
	C ₃	<i>Bacillus subtilis</i> var niger	C ₄	<i>Listeria grayii</i>
	D ₃	<i>Bacillus globigii</i>	D ₄	<i>Listeria monocytogenes</i> 4859
	E ₃	<i>Escherichia coli</i> 86	E ₄	<i>Listeria monocytogenes</i> 23074
	F ₃	<i>Enterobacter aerogenes</i>	F ₄	<i>Listeria monocytogenes</i> 5348
15	G ₃	<i>Enterobacter cloacae</i>	G ₄	<i>Micrococcus luteus</i>
	H ₃	<i>Erwinia herbicola</i>	H ₄	CONTROL
20	A ₅	<i>Proteus mirabilis</i>	A ₆	<i>Pseudomonas putida</i> JT1
	B ₅	<i>Proteus vulgaris</i>	B ₆	<i>Salmonella arizonae</i>
	C ₅	CONTROL	C ₆	<i>Salmonella infantis</i>
	D ₅	<i>Pseudomonas aeruginosa</i> PAO1 (KILLED)	D ₆	<i>Salmonella montevideo</i>
	E ₅	<i>Pseudomonas fluorescens</i>	E ₆	<i>Salmonella typhimurium</i> LT2
	F ₅	<i>Pseudomonas putida</i>	F ₆	<i>Serratia marcescens</i> (KILLED)
	G ₅	<i>Pseudomonas putida</i> 340	G ₆	<i>Streptococcus faecalis</i>
25	H ₅	<i>Pseudomonas putida</i> IC4A	H ₆	<i>Streptococcus pyogenes</i>
	A ₇	<i>Streptococcus mutans</i>		
	B ₇	CONTROL		
	C ₇	CONTROL		
	D ₇	<i>Staphylococcus aureus</i>		
	E ₇	<i>Vibrio cholerae</i> non O1		
	F ₇	<i>Escherichia coli</i> K12		
35	G ₇	5 ng autoinducer (KILLED)		
	H ₇	-----		

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Example 5Synthesis of the Complementation Factor (Autoinducer)
[N-(3-oxohexanoyl)-L-homoserine lactone] and its analogues

- 5 1. Synthesis of N-(3-oxoalkanoyl)homoserine lactones
(Compounds No. 1, 2, 3, 4, 14, 15 and 16)

General Method

Triethylamine (1 mmol) was added to a stirred solution of homoserine lactone hydrochloride (the L- or
10 D- isomer or a racemic mixture) (1 mmol) in water (2 ml) followed by the addition of ethylene glycol ketal of 3-oxoalkanoic acid (1 mmol) and 1-ethyl-3-(3-dimethylaminopropyl)carbodiimide hydrochloride (1 mmol). The mixture was stirred for 20 h and then
15 rotary evaporated to dryness at about 35°C. The light orange residue was extracted with warm ethyl acetate (5 x 5 ml) and the extracts pooled and washed successively with water (1 x 3 ml), 5% sodium bicarbonate solution (1 x 3 ml), 1 M potassium hydrogen sulphate solution (1
20 x 3 ml) and finally brine (1 x 5 ml). Drying (MgSO₄) and evaporation of solvent in vacuo gave the ethylene glycol ketal of 3-oxoalkanoylated homoserine lactones (40-50%).

Perchloric acid (60%, 0.25 ml) was added to
25 an ice-cooled solution of the alkanoylated lactone (0.5 mmol) in dichloromethane (15 ml). The mixture was stirred at 0°C for 0.5 h and then at room temperature for 1.5 h. The solvent was removed in vacuo and the residue redissolved in ethyl acetate (20 ml). The
30 solution was washed with cold water (2 x 5 ml) and brine (1 x 5 ml), dried (MgSO₄) and rotary evaporated to obtain the desired N-(3-oxoalkanoyl)homoserine lactones (55-60%).

Compound 16 is deriv d from homocysteine
35 lactone inst ad of homoserine lacton .

- 25 -

2. Synthesis of N-acylated homoserine lactone

(Compounds No. 5, 6, 7, 8 and 9)

General Method

Triethylamine (1 mmol) was added to a stirred
5 solution of homoserine lactone hydrochloride (the L- or
D-isomer or a racemic mixture) (1 mmol) in water
(2 ml) followed either by the addition of acid
anhydride (3 mmol) (compounds 5, 6 and 7) or acid
(1.5 mmol) and 1-ethyl-3-(3-
10 dimethylaminopropyl)carbodiimide hydrochloride
(1.5 mmol) (compounds 8 and 9). The mixture was
stirred at room temperature overnight and then
evaporated in vacuo to dryness. The residue was
partitioned between water (5 ml) and ethyl acetate (20
15 ml) and the organic layer successively washed with 5%
NaHCO₃ solution (2 x 5 ml), 1 M KHSO₄ solution (1 x 5
ml) and brine (1 x 5 ml). Drying (MgSO₄) and removal
of solvent gave the title acylated lactones (20-60%).

20 3. Synthesis of N-(3-hydroxyalkanoyl)-L-homoserine
lactones (Compounds 10, 11, 12 and 13)General Method

N-(3-Oxoalkanoyl)-L-homoserine lactone
(0.2 mmol) was dissolved in methanol (5 ml) and the
25 solution made acidic (pH 3-4) with 2 M HCl-methanol.
Sodium cyanoborohydride (0.5 mmol) was added in one lot
with stirring and the reaction mixture maintained at pH
3-4 by the occasional addition of 2 M HCl-methanol.
After 2 h, solvent was removed in vacuo and ethyl
30 acetate extracts (3 x 5 ml) of the residue were
combined, dried (MgSO₄) and evaporated to yield the
title hydroxy derivatives. The products were purified
by preparative layer chromatography on silica plates in
CHCl₃-MeOH (9:1) and repurified by HPLC. The latter
35 also resolved and separated the diastereoisomers in the
case of compounds 10 and 11.

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Autoinducer and its analogues prepared by these methods were more than 90% pure and were further purified with reverse phase HPLC using a 1 x 25 cm S50DS2 semi-prep column eluting isocratically with 15-20% MeOH-H₂O mixture and monitoring at 210 nm. The products were freeze-dried and stored below 0°C.

All the compounds (see Table 3) were characterised by i.r., mass spectra (EI) and high field n.m.r. as follows.

10

Compound 1 [N-(3-oxohexanoyl)-L-homoserine lactone]

ν_{\max} (KBr) 3295 (NH), 1780 (ring C=O), 1710 (ketone C=O), 1650 (amide C=O), 1550, 1170 cm⁻¹.

m/z (EI) (%) 213.0989 (47, M⁺, C₁₀H₁₅NO₄ requires m/z 213.1001), 185 (13), 170 (8), 155 (7), 143 (33), 128 (8), 113 (19), 102 (56), 101 (35), 71 (82), 57 (100).

δ_{H} (CDCl₃) (400 MHz) 0.9 (3H, t, CH₃), 1.64 (2H, sextet, CH₃.CH₂), 2.22 (1H, dddd, 4 α -H), 2.51 (2H, t, CH₂.CO), 2.77 (1H, ddd, 4 β -H), 3.47 (2H, s, CO.CH₂.CO), 4.28 (1H, ddd, 5 α -H), 4.48 (1H, dd, 5 β -H), 4.59 (1H, ddd, 3-H), 7.65 (1H, bs, NH).

$\delta_{13\text{C}}$ (CDCl₃) 13.58 (CH₃), 16.91 (CH₂), 29.94 (CH₂), 45.85 (CH₂), 28.13 (CH₂), 49.12 (CH), 65.94 (CH₂), 166.38 (CO.NH), 174.83 (ring C=O), 206.54 (C=O).

Compound 3 [N-(3-oxopentanoyl)-L-homoserine lactone]

ν_{\max} (KBr) 3280 (NH), 1780 (ring C=O), 1710 (ketone C=O), 1645 (amide C=O), 1550, 1170 cm⁻¹.

m/z (EI) (%) 199.0845 (25, M⁺, C₉H₁₃NO₄ requires m/z 199.0845), 170 (9), 154 (7), 141 (6), 125 (7), 102 (34), 101 (34), 57 (100), 43 (36).

δ_{H} (CDCl₃) (400 MHz) 1.09 (3H, t, CH₃), 2.23 (1H, dddd, 4 α -H), 2.57 (2H, q, CH₃.CH₂), 2.77 (1H, ddd, 4 β -H), 3.48 (2H, s, CO.CH₂.CO), 4.28 (1H, ddd, 5 α -H), 4.48 (1H, dd, 5 β -H), 4.58 (1H, ddd, 3-H), 7.60 (1H, bs, NH).

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Compound 4 [N-(3-oxobutanoyl)homoserine lactone]

ν_{\max} (KBr) 3280 (NH), 1780 (ring C=O), 1710 (ketone C=O), 1640 (amide C=O), 1550, 1170 cm^{-1} .

m/z (EI) (%) 185.0701 (3, M^+ , $\text{C}_8\text{H}_{11}\text{NO}_4$ requires m/z 185.0687), 140 (4), 127 (7), 102 (4), 101 (21), 57 (72), 43 (100).

δ_{H} (CDCl_3) (400 MHz) 2.23 (1H, dddd, 4 α -H), 2.28 (3H, s, CH_3), 2.77 (1H, ddd, 4 β -H), 3.50 (2H, s, $\text{CO}\cdot\text{CH}_2\cdot\text{CO}$), 4.28 (1H, ddd, 5 α -H), 4.48 (1H, dd, 5 β -H), 4.59 (1H, ddd, 3-H), 7.60 (1H, bs, NH).

Compound 6 [N-butanoyl-L-homoserine lactone]

ν_{\max} (KBr) 3310 (NH), 1775 (ring C=O), 1640 (amide C=O), 1545, 1175 cm^{-1} .

m/z (EI) (%) 171.0922 (12, M^+ , $\text{C}_8\text{H}_{13}\text{NO}_3$ requires m/z 171.0896), 153 (4), 143 (65), 128 (5), 125 (5), 102 (10), 101 (10), 71 (57), 57 (65), 43 (100).

δ_{H} (CDCl_3) (400 MHz) 0.96 (3H, t, CH_3), 1.68 (2H, sextet, $\text{CH}_3\cdot\text{CH}_2$), 2.19 (1H, dddd, 4 α -H), 2.24 (2H, t, $\text{CH}_2\cdot\text{CO}$), 2.82 (1H, ddd, 4 β -H), 4.29 (1H, ddd, 5 α -H), 4.47 (1H, dd, 5 β -H), 4.59 (1H, ddd, 3-H), 6.26 (1H, bs, NH).

Compound 7 [N-acetyl-L-homoserine lactone]

ν_{\max} (KBr) 3300 (NH), 1785 (ring C=O), 1640 (amide C=O), 1535, 1185 cm^{-1} .

m/z (EI) (%) 143.0546 (6, M^+ , $\text{C}_6\text{H}_9\text{NO}_3$ requires 143.0582), 125 (5), 116 (2), 101 (3), 98 (11), 57 (93), 43 (100).

δ_{H} (CDCl_3) (400 MHz) 2.06 (3H, s, CH_3), 2.19 (1H, dddd, 4 α -H), 2.78 (1H, ddd, 4 β -H), 4.29 (1H, ddd, 5 α -H), 4.47 (1H, dd, 5 β -H), 4.62 (1H, ddd, 3-H), 6.57 (1H, bs, NH).

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Compound 8 [N-[(E)-hex-2-enoyl]-L-homoserine lactone]

ν_{\max} (KBr) 3310 (NH), 1780 (ring C=O), 1675 (amide C=O), 1635 (C=C), 1555, 1175 cm^{-1} .

m/z (EI) (%) 197.1088 (11, M^+ , $\text{C}_{10}\text{H}_{15}\text{NO}_3$ requires m/z 197.1052), 154 (9), 97 (100), 85 (3).

δ_{H} (CDCl_3) (400 MHz) 0.94 (3H, t, CH_3), 1.48 (2H, sextet, $\text{CH}_3\text{-CH}_2$), 2.17 (3H, m, $4\alpha\text{-H}$ and $\text{CH}_2\text{-CH=CH}$), 2.86 (1H, m, $4\beta\text{-H}$), 4.31 (1H, ddd, $5\alpha\text{-H}$), 4.48 (1H, dd, $5\beta\text{-H}$), 4.63 (1H, ddd, 3-H), 5.83 (1H, dt, CH=CH.CO), 6.11 (1H, d, NH), 6.90 (1H, dt, CH=CH.CO).

Compound 9 [N-hexanoyl-L-homoserine lactone]

ν_{\max} (KBr) 3315 (NH), 1775 (ring C=O), 1645 (amide C=O), 1550, 1175 cm^{-1} .

m/z (EI) (%) 199.1256 (5, M^+ , $\text{C}_{10}\text{H}_{17}\text{NO}_3$ requires m/z 199.1208), 170 (5), 156 (8), 143 (100), 85 (10).

δ_{H} (CDCl_3) (90 MHz) 0.87 (3H, t, CH_3), 1.0-1.40 (4H, m, $\text{CH}_3\text{-CH}_2\text{-CH}_2$), 1.40-1.85 (2H, m, $\text{CH}_2\text{-CH}_2\text{-CO}$), 1.85-2.45 (3H, m, $\text{CH}_2\text{-CO}$ and $4\alpha\text{-H}$), 2.45-2.90 (1H, m, $4\beta\text{-H}$), 4.0-4.75 (3H, m, 5-H₂ and 3-H), 6.43 (1H, d, NH).

Compound 10 [N-[(S)-3-hydroxyhexanoyl]-L-homoserine

lactone]

m/z (EI) (%) 197.0995 (9, $\text{M}^+ - \text{H}_2\text{O}$, $\text{C}_{10}\text{H}_{15}\text{NO}_3$ requires m/z 197.1052), 172.0629 (52, $\text{M}^+ - \text{C}_3\text{H}_7$, $\text{C}_7\text{H}_{10}\text{NO}_4$ requires m/z 172.0610), 154 (4), 143 (31), 102 (100).

δ_{H} (CDCl_3) (400 MHz) 0.94 (3H, t, CH_3), 1.44 (2H, m, $\text{CH}_3\text{-CH}_2$), 1.55 (2H, m, $\text{CH}_2\text{-CH.OH}$), 2.18 (1H, dddd, $4\alpha\text{-H}$), 2.35 (1H, dd, $\text{CH}_\alpha\text{H}_\beta\text{-CO}$), 2.44 (1H, dd, $\text{CH}_\alpha\text{H}_\beta\text{-CO}$), 2.83 (1H, dddd, $4\beta\text{-H}$), 3.07 (1H, br s, OH), 4.04 (1H, dddd, CHOH), 4.28 (1H, ddd, $5\alpha\text{-H}$), 4.48 (1H, ddd, $5\beta\text{-H}$), 4.57 (1H, ddd, 3-H), 6.55 (1H, br s, NH).

- 29 -

Compound 11 (N-[(R)-3-hydroxyhexanoyl]-L-homoserine lactone)

m/z (EI) (%) 197.1066 (11, $M^+ - H_2O$, $C_{10}H_{15}NO_3$ requires m/z 197.1052), 172.0612 (53, $M^+ - C_3H_7$, $C_7H_{10}NO_4$ requires m/z 172.0610), 143 (27), 102 (100).

δ_H ($CDCl_3$) (400 MHz) 0.94 (3H, t, CH_3), 1.43 (2H, m, $CH_3 \cdot CH_2$), 1.55 (2H, m, $CH_2 \cdot CH \cdot OH$), 2.19 (1H, dddd, $4\alpha-H$), 2.33 (1H, dd, $CH_\alpha H_\beta CO$), 2.46 (1H, dd, $CH_\alpha H_\beta \cdot CO$), 2.83 (1H, dddd, $4\beta-H$), 4.05 (1H, dddd, $CH \cdot OH$), 4.30 (1H, ddd, $5\alpha-H$), 4.48 (1H, ddd, $5\beta-H$), 4.55 (1H, ddd, 3-H), 6.50 (1H, br s, NH).

Compound 12 (N-[(RS)-3-hydroxypentanoyl]-L-homoserine lactone)

m/z (EI) (%) 201.0939 (2, M^+ , $C_9H_{15}NO_4$ requires m/z 201.1001), 183.0931 (8, $M^+ - H_2O$, $C_9H_{13}NO_2$ requires m/z 183.0895), 172.0677 (24, $M^+ - C_2H_5$, $C_7H_{10}NO_4$ requires m/z 172.0610), 143 (28), 102 (44), 101 (32), 57 (100).

δ_H ($CDCl_3$) (90 MHz) 0.93 (3H, t, CH_3), 1.50 (2H, quintet, $CH_3 \cdot CH_2$), 2.15 (1H, m, $4\alpha-H$), 2.33 (2H, d, $CH_2 \cdot CO$), 2.60 (1H, m, $4\beta-H$), 2.70 (1H, br s, D_2O exchangeable, OH), 4.0-4.80 (3H, m, 5- H_2 and 3-H), 6.90 (1H, br s, D_2O exchangeable, NH).

25

Compound 13 (N-[(RS)-3-hydroxybutyryl]-L-homoserine lactone)

m/z (EI) (%) 187.0820 (2, M^+ , $C_8H_{13}NO_4$ requires m/z 187.0844), 172.0614 (11, $M^+ - CH_3$, $C_7H_{10}NO_4$ requires m/z 172.0610), 169.0761 (6, $M^+ - H_2O$, $C_8H_{11}NO_3$ requires m/z 169.0739), 143 (34), 102 (46), 101 (18), 57 (100).

δ_H (D_2O) (90 MHz) 1.20 (3H, d, CH_3), 2.20 (1H, m, $4\alpha-H$), 2.40 (2H, d, $CH_2 \cdot CO$), 2.57 (1H, m, $4\alpha-H$), 4.0-4.70 (4H, m, 5- H_2 , 3-H and $CH \cdot OH$).

35

- 30 -

Compound 14 [N-benzoylacetyl-L-homoserine lactone]

m/z (EI) (%) 247.0824 (24, M⁺, C₁₃H₁₃NO₄
requires m/z 247.0844), 147 (12), 105 (100), 77 (37).

5 δ_H (CDCl₃/DMSO-d₆) (90 MHz) 2.20-2.80 (2H,
m, 4-H₂), 3.94 (2H, s, CO.CH₂.CO), 4.10-4.80 (3H, m,
5-H₂ and 3-H), 7.30-7.70 (3H, m, ArH), 7.95 (2H, dd,
ArH), 8.56 (1H, d, NH).

10

15

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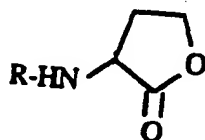
25

30

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- 31 -

Table 3

Autoinducer and its analogues

10

15

No.	R	Chirality at C-3	Overall Yield from Homoserine Lactone
1	CH ₃ .CH ₂ .CH ₂ .CO.CH ₂ .CO	L	25%
2	CH ₃ .CH ₂ .CH ₂ .CO.CH ₂ .CO	D	26%
3	CH ₃ .CH ₂ .CO.CH ₂ .CO	L	28%
20 4	CH ₃ .CO.CH ₂ .CO	D,L	28%
5	CH ₃ .CH ₂ .CH ₂ .CO	D,L	39%
6	CH ₃ .CH ₂ .CH ₂ .CO	L	40%
7	CH ₃ .CO	L	20%
8	CH ₃ .CH ₂ .CH ₂ .CH=CH.CO	L	35%
25 9	CH ₃ .CH ₂ .CH ₂ .CH ₂ .CH ₂ .CO	L	60%
10	(S)-CH ₃ .CH ₂ .CH ₂ .CH(OH).CH ₂ .CO	L	5%
11	(R)-CH ₃ .CH ₂ .CH ₂ .CH(OH).CH ₂ .CO	L	5%
12	(RS)-CH ₃ .CH ₂ .CH(OH).CH ₂ .CO	L	8%
13	(RS)-CH ₃ .CH(OH).CH ₂ .CO	L	7%
30 14	Ph.CO.CH ₂ .CO	L	20%
15	CH ₃ .CH ₂ .CH ₂ .CH ₂ .CO.CH ₂ .CO		
16*	CH ₃ .CH ₂ .CH ₂ .CO.CH ₂ .CO		

35 * In this compound the ring oxygen atom is replaced by sulphur

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Example 6

Erwinia mutant PNP22 was inoculated around the rims of 3 mm wells cut into agar plates seeded with E.coli ESS. Solutions of a range of concentrations of each analogue of Example 5 were prepared in distilled water, filter sterilised and 50 µl added to each well. Plates were incubated at 26°C overnight and the resulting inhibition zone diameters measured (mm). Figure 4 is a graph of inhibition zone diameter (which denotes induction of carbapenem antibiotic production) against concentration of various compounds. The dose response curves are shown for compounds 1, 14, 3, 11, 12, 9, 10 and 6. The remaining compounds in the series showed little or no activity in this assay, apart from the D isomer which shows about 10% activity (data not shown).

Example 7

The enumeration of Pseudomonas aeruginosa using E.coli[pSB237] as an indicator strain.

A method for the enumeration of Pseudomonas aeruginosa was investigated using E.coli[pSB237] (Figure 5) as an indicator. pSB237 confers an autoinducer-dependent bioluminescent phenotype on E.coli (upon addition of exogenous aldehyde) and can therefore detect the production of autoinducer from P. aeruginosa. The experiment requires an over-night culture of P. aeruginosa which is subjected to 10 fold serial dilutions such that approximately 2, 20 and 200 colonies are filtered onto a nitrocellulose membrane. We have found the use of membranes to be preferable to pour plate methods because the membranes provides an aerobic environment for th Pseudomonas to grow. The membranes are placed onto a lawn of E. coli[pSB237]. Th inducer from P. aeruginosa diffuses through the membrane and triggers bioluminescence in localised

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areas of the E. coli[pSB237] lawn equivalent in size to the Pseudomonas colony. The number of light areas exactly correlate with the number of Pseudomonas colonies. In a mixed culture only those bacterial colonies which produce autoinducer will provide light in the E. coli[pSB237] lawn and this can, therefore, provide a very clear discrimination between what may otherwise be closely related bacteria.

10 Experimental details

1. Overnight cultures of P. aeruginosa PAO1 and E. coli[pSB237] were grown in Luria Broth.
2. A 10 fold dilution series of the overnight Pseudomonas culture was prepared using Luria Broth and samples plated onto Luria Broth Agar to obtain colony counts.
3. 0.5 ml of the overnight E. coli[pSB237] was added to 4 ml of molten (45°C) Luria Broth Agar and spread onto a Luria Broth Agar plate.
- 20 4. 1 ml of the Pseudomonas dilution series -8, -7 and -6 (in duplicate) were individually filtered onto a Gelman 0.45 µm nitrocellulose membrane. These were subsequently individually placed onto E. coli[pSB2237] agar lawns as prepared in (3) above.
- 25 5. Plates were incubated at 30°C for several hours and viewed with the Hamamatsu Argus 100 Vim 3 camera after exposure to nonanal vapour.

Results

30

<u>Dilution</u>	<u>No. of Light Areas</u>	<u>No. of colonies</u>
-6	Too many to count	Too many to count
-7	170/168	158/182
-8	23/22	21/22

35

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Example 8Induction of carbapenem biosynthesis in liquid culture

A culture of mutant PNP22 was grown overnight in ECP medium and a 2% inoculum (v/v) added to 100 ml of fresh ECP medium. This was incubated on a rotary shaker (rpm 220) at 26°C for 6 hours (until OD > 2.0).

Carbapenem HPLC assay

Bacteria were harvested by centrifugation at 10000 rpm for 10 mins. The supernatant was extracted with a solution of 4% Aliquat 336 (Aldrich) in distilled dichloromethane. Samples were analysed by HPLC using a reverse phase, semi-preparative column.

Induction of carbapenem biosynthesis in PNP22 by addition of exogenous autoregulator

Synthetically produced autoregulator was added at a range of concentrations from 0 to 75 µg/ml and a dose-response curve constructed. The threshold for induction of carbapenem production is approximately 0.5 µg/ml. The optimum concentration is 1.0 µg/ml. Above this concentration, biosynthesis of carbapenem appears to be inhibited.

The experiment was repeated using the D-isomer in place of the L-isomer (i.e. compound 2 from Example 5 in place of compound 1). In this case the threshold for induction of carbapenem production was about 2 µg/ml and the optimum concentration was 30 µg/ml.

Example 9Effect of Autoinducer in microbial media on promoting microorganism metabolism

The measurement of microorganism metabolism can be monitored by several methods one of which is known as impedanc monitoring. It has been shown that when bacteria grow in culture media the end products of metabolism are generally more highly charged than the

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original growth substrates. The resulting changes in impedance can be monitored and "growth" or metabolism curves constructed. The curves obtained by growth in different substrates can be compared for the growth quality of the substrates. The curves can also be used to determine the number of bacteria in a given sample, estimate the sensitivity of microorganisms to inhibitory substances such as antibiotics and also determine the utilisation of growth substances.

10

Experimental

Experiments were performed to examine the effects of autoinducer in culture media on the curves obtained using a Malthus AT growth analyser.

15

Serial tenfold dilution of a 4 hour culture in nutrient broth (Lab M, lab 14) of Serratia marcescens were prepared in sterile 0.9% saline. 0.02 ml of 10^{-4} or 10^{-5} dilution were added to 6 tubes of media as detailed below. The tubes were mixed and then attached to the Malthus system.

20

Easter & Gibson media (Lab M, lab 137) was used throughout with 5 g per litre tri-methyl amine N-oxide added before autoclaving. Sodium biselenite was omitted and the medium was prepared according to the manufacturer's instructions. The inducer (a synthetic preparation of N-(β -ketocaproyl) homoserine lactone) solution was prepared in sterile water and added to the Malthus tubes to give varying final concentrations up to 80 ng/ml.

25

8 of 9 sets of experiments showed an increase of maximum output of between 300 - 500 microsiemens at concentrations of between 5 and 20 ng/ml autoinducer (as compared to the output at zero autoinducer). These results clearly demonstrate that increased bacterial growth was obtained in the presence of inducer.

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Example 10Activity of various analogues in inducing luminescence

Autoinducer and various analogues described in Table 3 were tested for their ability to induce luminescence in E.coli[pSB237] in the assay described in Example 4.

	<u>Compound</u>	<u>Light Levels</u>
	1	+++
10	3	++
	12	++
	6	+
	8	+

In another experiment, tenfold dilutions of autoinducer and various analogues were tested for their ability to induce luminescence in E. coli[psB237]. The following results were obtained, expressed as light levels as above:-

	<u>Dilution</u>	<u>Compound</u>		
		1	15	16
	-2	++++	+++	++++
	-3	++++	+++	++++
25	-4	+++	++++	++++
	-5	+	++++	+
	-6	-	++++	-

30

Example 11Early Induction of Carbapenem Production by Autoinducer in Erwinia carotovora ATCC 39048

Erwinia carotovora ATCC 39048 was grown as in Example 2, except that 1 ml of overnight seed culture was inoculated into 50 ml ECP with and without autoinducer at a final concentration of 5 µg/ml. The

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cultures were incubated at 26°C on a shaking incubator at 240 rpm. 100 µl samples of supernatant were taken and injected onto a Spherisorb S50DS2 HPLC column eluted with 100 mM KH₂PO₄ at 2 ml/min. The carbapenem peak eluted at approximately 7.8 minutes and was determined by absorbance at 254 nm. Inclusion of autoinducer switched on carbapenem production (3-6 hr) several hours earlier than control cultures (5-8 hr).

10 Example 12
Effects on recovery of injured organisms

Aim

To test the effect of Autoinducer on recovery on selective and non-selective agar of Serratia marcescens bacteria with freezing induced injuries.

Materials

Overnight culture of Serratia marcescens grown in Luria Broth, static at 37°C.

Maximum Recovery Diluent (MRD) (Oxoid CM733, Lot No. 203 40764) made up according to manufacturers instructions.

Plates of Luria Agar (LA).

Plates of Violet Red Bile Glucose Agar (VRBGA) (Oxoid CM485, Lot No. 138 40768) made up according to manufacturers instructions.

Autoinducer stock: DL-N-(3-oxohexanoyl)-L-homoserine lactone 10 mg/ml in ethyl-acetate.

30 Method

Plates with 20 ng Autoinducer/ml agar were prepared in the following way:

Autoinducer solution 4 µg/ml was prepared by mixing 4µl stock with 10 ml Maximum Recovery diluent.

Pre-poured, dried agar plates were weighted

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to nearest 2g, and 5 µl Autoinducer solution/g agar was dispensed and spread on the surface using a sterile, disposable L-shaped spreader. Plates were then stored overnight at 4°C in the dark before drying prior to use.

Control plates without Autoinducer were prepared in a similar fashion substituting sterile Maximum Recover Diluent for Autoinducer solution.

The overnight culture was diluted in 10-fold serial dilutions to 10^{-4} in sterile Bijou's by mixing 500 µl culture + 4500 µl MRD ($= 10^{-1}$), 500 µl 10^{-1} + 4500 µl MRD ($= 10^{-2}$) 500 µl 10^{-3} dilution + 4500 µl MRD ($= 10^{-4}$).

The 10^{-4} dilution was used in the further work as working suspension.

Controls

100 µl working suspension was serially diluted as described in 1000µl volumes, and 100 µl of the resulting dilutions 10^{-1} , 10^{-2} and 10^{-3} were surface plated in duplicate on each of the following media:

Luria Agar (LA)
Luria Agar + 20 ng Autoinducer (LA + I)
25 Violet Red Bile Glucose Agar (VRBGA)
Violet Red Bile Glucose Agar + 20 ng Autoinducer (VRGBA + I)

Freeze-shocked samples

30 The remaining 4900 µl of working suspension was transferred to a freezer and stored at -21°C. After 210 minutes this was thawed in a waterbath at 31.5°C for 15 minutes, and duplicates of 100 µl of the thawed working solution and a 10^{-1} dilution in MRD of the thawed working solution were plated on the 4 agars as described.

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Plates were counted after incubation at 22°C for 24 and 48 hrs.

Results

5 Results are given as colony forming units recovered per ml working solution. Table 4 shows culture densities on the different agars after 48 hours incubation.

10 Table 5 shows culture densities on Luria Agar with and without Autoinducer after 24 and 48 hours incubation.

Conclusion

15 There is no difference between recovery of un-injured cells on complex and selective media with and without autoinducer.

20 There is no difference between recovery of injured cells on complex medium (Luria Agar) with and without inducer after 48 hours, but there is a trend towards quicker growth/recovery (higher number of colonies after 24 hours) on Luria Agar with Autoinducer compared to the same medium without Autoinducer.

25 There is a significant increase in recovery of injured cells on selective medium (VRGBA) with inducer compared to VRGBA without inducer.

Recovery without inducer:

% recovery on VRGBA = $2.8/11 = 25\%$.

30 Recovery with inducer:

% recovery on VRGBA + I = $5.1/11 = 46\%$.

Recovery on LA after 24 hrs:

With Inducer = $8.5/11 = 77\%$.

35 Without Inducer = $6.9/11 = 63\%$.

- 40 -

Discussion

The higher recovery of injured organisms on the selective agar with Autoinducer indicates an improvement in tolerance to selective agents (Bile salts and Crystal Violet) and/or improved repair of injured organisms.

The higher number of colonies found after 24 hours on Luria Agar with Autoinducer compared to controls without Autoinducer implies quicker recovery/growth in the presence of Autoinducer.

Table 4

Culture densities on 4 different agars after 48 hours at 22°C

Culture density 48 hrs incubation (x10 ³)	LA	VRGBA	LA + I	VRBGA + I
Control	27	28	29	30
Frozen	11	2.8	11	5.1

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Table 5

Culture densities on Luria Agar with and without
Autoinducer after 24 and 48 hours incubation at 22°C

5

Culture density ($\times 10^3$)	LA	LA	LA + I	LA + I
	24 hrs	48 hrs	24 hrs	48 hrs
Control	26	27	29	29
Frozen	6.9	11	8.5	11

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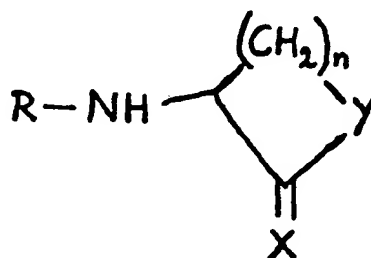
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CLAIMS

1. Use of a compound having the formula 1 to
 5 control gene expression in microorganisms other than V. fischeri, V. logei and V. harveyi, wherein formula 1 is



- 10
 15 where n is 2 or 3
 Y is O, S or NH
 X is O, S or NH
 R is C₁ - C₁₂ alkyl or acyl which may be substituted.

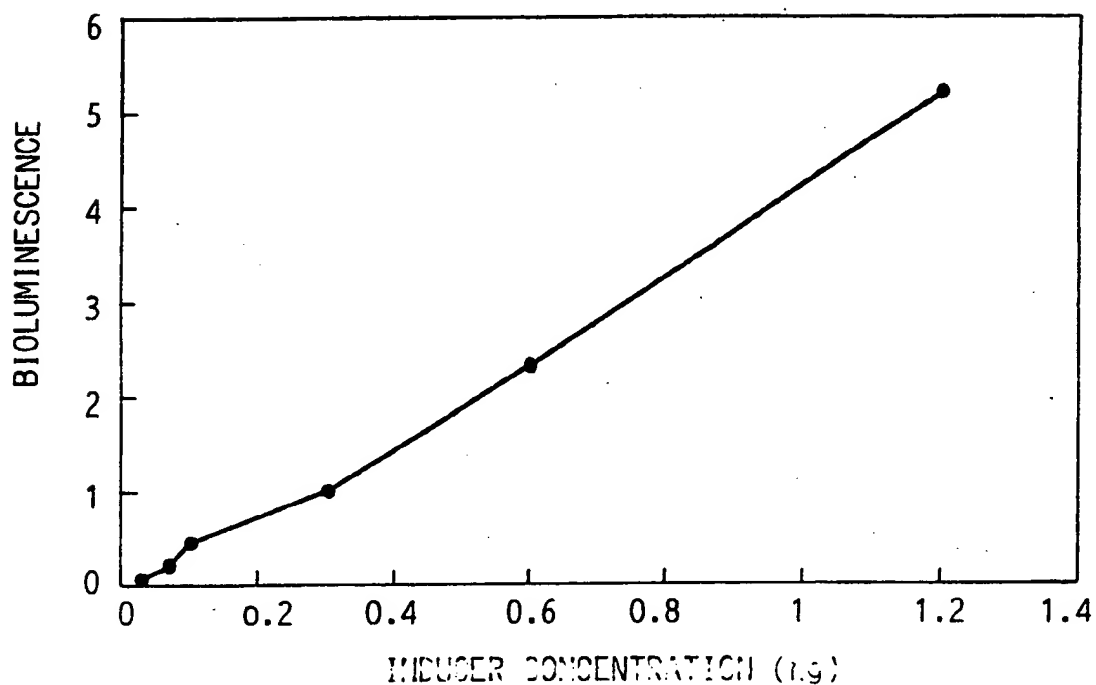
- 20 2. Use as claimed in Claim 1, wherein, Y is O, X is O, n is 2 and R is acyl.
 3. Use as claimed in Claim 1 or Claim 2, wherein R carries a keto or hydroxy group.
 4. Use as claimed in Claim 3, wherein R carries a keto group in the beta-position.
 25 5. Use as claimed in Claim 4, wherein the compound is N-(β-ketocaproyl) homoserine lactone.
 6. Use as claimed in any one of Claims 1 to 5, wherein the gene expression causes bioluminescence.
 7. Use as claimed in any one of Claims 1 to 5,
 30 wherein the gene expression causes production of antibiotic.
 8. Use as claimed in any one of Claims 1 to 5, wherein the compound is included in a bacterial growth medium which promotes bacterial growth.
 35 9. An optically active isomer of a compound having formula 1 as defined in any one of Claims 1 to 5.

- 45 -

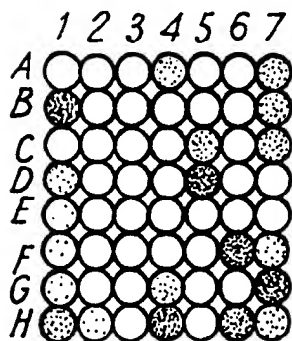
10. An optically active compound as claimed in Claim 9, wherein the isomer is the L-isomer.
11. A method of testing for N-(β -ketocaproyl) homoserine lactone or analogue in a sample, by
5 incubating the sample in contact with test bacteria chosen for enhancement of gene expression by the lactone or analogue, and detecting the gene expression as a test for the lactone.
12. A method of testing for the presence in a sample
10 of a first bacterium known to generate N-(β -ketocaproyl) homoserine lactone or analogue under particular conditions, which method comprises incubating the sample under the particular conditions in contact with a test bacterium chosen for enhancement
15 of gene expression by the lactone or analogue, and detecting the gene expression as a test for the first bacterium.
13. A method as claimed in Claim 12, wherein the first bacterium is selected from Pseudomonas
20 aeruginosa, Serratia marcescens, Proteus mirabilis, Citrobacter freundii and Enterobacter spp.
14. A method as claimed in Claim 12 or Claim 13, wherein a fluid sample possibly containing the first bacterium is filtered, and a nutrient medium containing
25 the test bacterium is laid on the filter and incubated.
15. A method as claimed in any one of Claims 11 to 14, wherein the gene expression causes bioluminescence.
16. A method as claimed in any one of Claims 11 to 15, wherein gene expression causes production of
30 antibiotic.
17. A growth medium for microorganisms, containing an added compound having formula 1 as defined in any one of claims 1 to 5, at a concentration effective to stimulate or promote the
35 metabolism, growth and/or recovery of microorganisms.

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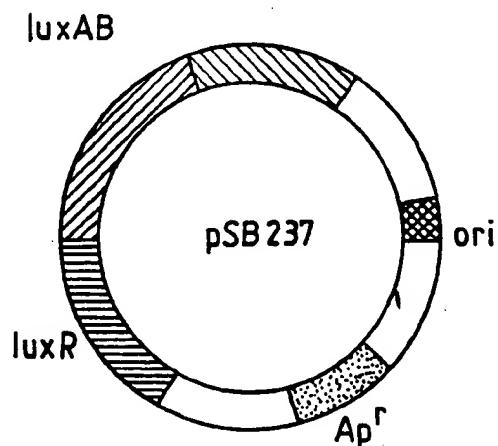
FIG. 2 N-(B-ketocaproyl)-homoserine lactone
Vibrio fischeri autoinducer



Light from E.coli(pSB237) after 24 h
incubation with autoinducer

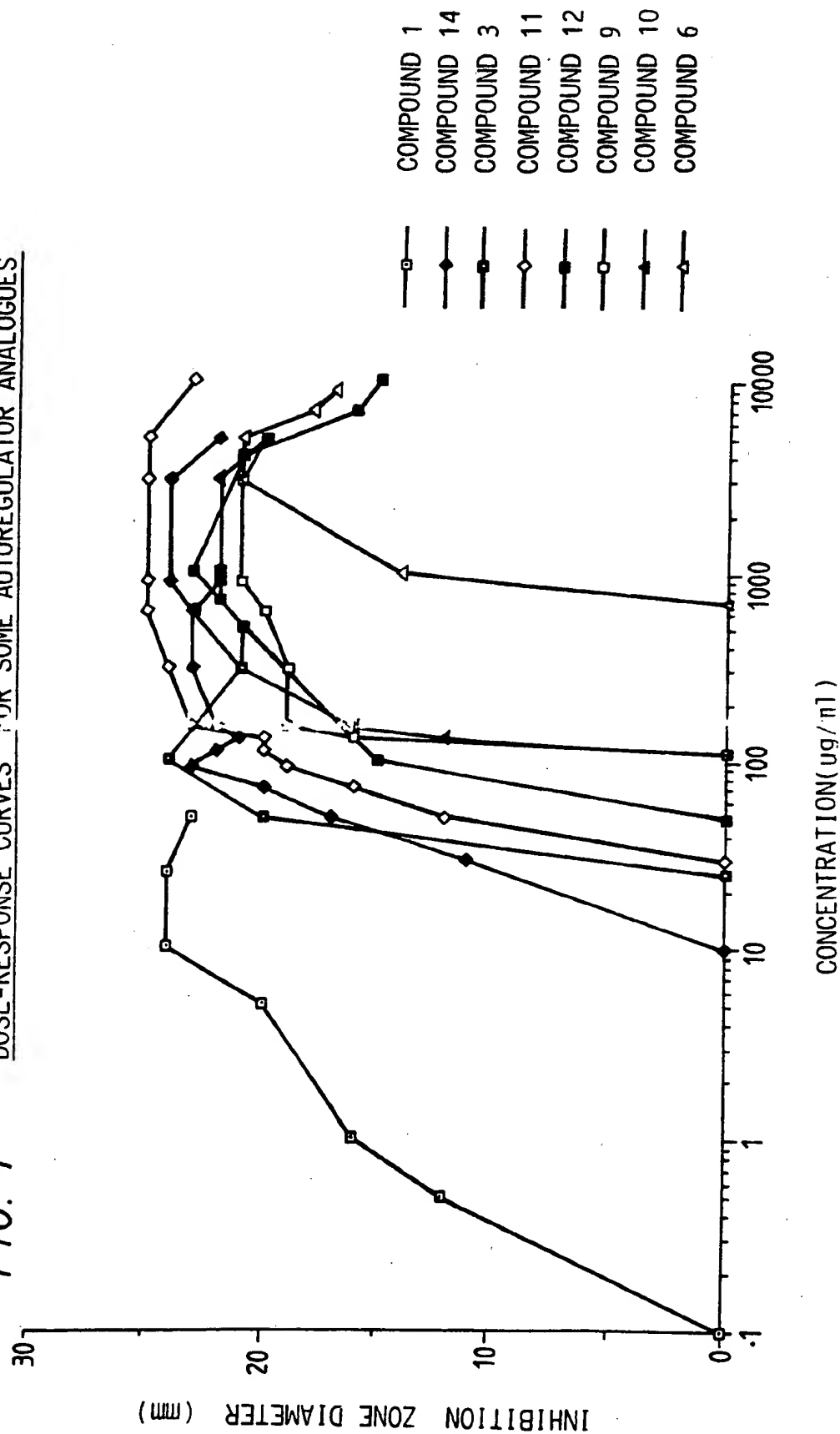
FIG. 3

AFTER 6 HOURS OF
INCUBATION

FIG. 5

3/3

FIG. 4 DOSE-RESPONSE CURVES FOR SOME AUTOREGULATOR ANALOGUES



International Application No.

PCT/GB 92/00713

I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) ⁶ According to International Patent Classification (IPC) or to both National Classification and IPC Int.Cl. 5 C12N1/38; C12N15/67; C12Q1/04; C07D307/33 C07D309/30; C07D333/32; C07D207/273; C07D335/02												
II. FIELDS SEARCHED Minimum Documentation Searched ⁷ <table border="1"> <tr> <td>Classification System</td> <td colspan="3">Classification Symbols</td> </tr> <tr> <td>Int.Cl. 5</td> <td>C12N ;</td> <td>C12Q ;</td> <td>C07D</td> </tr> </table> Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched ⁸				Classification System	Classification Symbols			Int.Cl. 5	C12N ;	C12Q ;	C07D	
Classification System	Classification Symbols											
Int.Cl. 5	C12N ;	C12Q ;	C07D									
III. DOCUMENTS CONSIDERED TO BE RELEVANT⁹ <table border="1"> <tr> <th>Category¹⁰</th> <th>Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages¹²</th> <th>Relevant to Claim No.¹³</th> </tr> <tr> <td>X</td> <td>US,A,4 861 709 (ULITZUR, S.Y. & KUHN, J.C.) 29 August 1989 see column 7 - column 8; claim 10 ---</td> <td>1-6,8,17</td> </tr> <tr> <td>X</td> <td>PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA. vol. 86, August 1989, WASHINGTON US pages 5688 - 5692; DEVINE, J.H. ET AL.: 'Identification of the operator of the lux regulon from the Vibrio fischeri strain ATCC7744' see the whole document --- -/-</td> <td>1-6,8,17</td> </tr> </table>				Category ¹⁰	Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²	Relevant to Claim No. ¹³	X	US,A,4 861 709 (ULITZUR, S.Y. & KUHN, J.C.) 29 August 1989 see column 7 - column 8; claim 10 ---	1-6,8,17	X	PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA. vol. 86, August 1989, WASHINGTON US pages 5688 - 5692; DEVINE, J.H. ET AL.: 'Identification of the operator of the lux regulon from the Vibrio fischeri strain ATCC7744' see the whole document --- -/-	1-6,8,17
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<p>¹⁰ Special categories of cited documents : ¹⁰</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"A" document member of the same patent family</p>												
IV. CERTIFICATION <table border="1"> <tr> <td>Date of the Actual Completion of the International Search 14 JULY 1992</td> <td>Date of Mailing of this International Search Report 16. 07. 92</td> </tr> <tr> <td>International Searching Authority EUROPEAN PATENT OFFICE</td> <td>Signature of Authorized Officer ANDRES S. M.</td> </tr> </table>				Date of the Actual Completion of the International Search 14 JULY 1992	Date of Mailing of this International Search Report 16. 07. 92	International Searching Authority EUROPEAN PATENT OFFICE	Signature of Authorized Officer ANDRES S. M.					
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III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category*	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No.
X	<p>ARCHIVES OF MICROBIOLOGY vol. 146, no. 1, October 1986, SPRINGER VERLAG pages 35 - 40; EBERHARD, A. ET AL.: 'Analog of the autoinducer of bioluminescence in <i>Vibrio fischeri</i>' see page 37; table 1 see page 39, line 16 - line 22</p>	1-4,6,8
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X	<p>JOURNAL OF BIOLOGICAL CHEMISTRY. vol. 264, no. 36, 25 December 1989, BALTIMORE US pages 21670 - 21676; CAO, J.-G. & MEIGHEN, E.A.: 'Purification and structural identification of an autoinducer for the luminescence system of <i>Vibrio harveyi</i>' see the whole document</p>	17
A		1-9
A	<p>ARCHIVES OF MICROBIOLOGY vol. 120, no. 2, February 1979. SPRINGER VERLAG pages 87 - 91; GREENBERG, E.P. ET AL.: 'Induction of luciferase synthesis in <i>Beneckeia harveyi</i> by other marine bacteria' see the whole document</p>	

GB 9200713
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This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information. 14/07/92

US-A-4861709	29-08-89	None
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